

## **COMPLIANCE HOTLINE**

**315-272-2905**

**How do you report suspected compliance/ ethical concerns?**

**Call the Compliance Hotline @ 315-272-2905**

**Who can make the call?**

**Vendors/Contractors, RCIL Staff, Consumers, and members of the general public**

**What types of suspected concerns would warrant a call to the Compliance Hotline?**

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|---|---|
| -HIPAA Violation                                  | -Policy and Procedure Violations          |
| -Abuse/Mistreatment                               | -Misuse of Agency Funds                   |
| -Acts of Fraud                                    | -Stealing/Theft                           |
| -Safety Concerns                                  | -Falsification of Documentation           |
| -Violation of Laws or Regulations                 | -Abuse/Neglect                            |
| -Signing off on services that were never provided | -Billing services when none were provided |

**Available 24 hours a day/ 7 days a week**

***Calls can be made anonymously and without fear of retribution or retaliation***

## **RCIL's Compliance Program is based on:**

- ◆ The Federal False Claims Act (FCA): Outlines measures to detect and avoid fraud, waste, and abuse. Under the FCA, it is unlawful to knowingly submit or cause the submission of false claims to Medicaid or make false records that support billing Medicaid. FCA imposes liability (fines) on persons and companies who defraud government funded programs.
- ◆ The Federal Deficit Reduction Act of 2005 (DRA): Another measure to eliminate fraud, waste, and abuse that requires certain organizations to provide detailed information via written policies and training on the FCA, remedies for false claims, civil or criminal penalties for false claims, and protections for whistleblowers.
- ◆ New York State False Claims Act: As to prevent abuse, fraud, and waste, NYS empowers people with evidence of fraud to file a complaint and potentially receive a monetary reward for doing so.
- ◆ Health Insurance Portability and Accountability Act of 1996 (HIPAA): U.S. legislation providing data privacy and security provisions for safe-guarding health/medical information.
- ◆ New York State SHIELD Act (2019): The "Stop Hacks and Improve Electronic Security Act" works with HIPAA to ensure data breaches are reported. It protects certain private information of New York State residents and requires reporting to the NYS Attorney General of certain electronic security issues.
- ◆ New York State Non-Profit Revitalization Act of 2013 (NPRA): Sets legal requirements for governance (boards) of nonprofit organizations (both not-for-profit corporations and wholly charitable trusts), expands the Attorney General's enforcement powers, and clarifies rules applicable to not-for-profit corporations.
- ◆ Federal and New York State Department of Labor Regulations
- ◆ Others as applicable

You may also visit [www.omig.state.ny.us](http://www.omig.state.ny.us) to obtain additional information about New York laws that impact compliance needs.

Contractors and vendors are encouraged to make reports of any compliance concerns. You can contact RCIL's Chief Corporate Compliance Officer with any questions or concerns at 315-738-2735 or [compliance@rcil.com](mailto:compliance@rcil.com). You can also call RCIL's Compliance Hotline at 315-272-2905. Calls can be made anonymously and without fear of retribution or retaliation.

This information and RCIL's Corporate Compliance Policy can be found at [www.rcil.com](http://www.rcil.com), on the bottom of the page under the Compliance section.